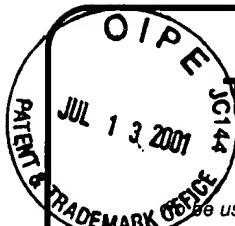


# BAKER BOTTS LLP

Please type a plus sign (+) inside this box →

0300



## TRANSMITTAL FORM

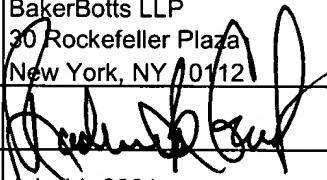
be used for all correspondence after initial filing)

|  |  |                        |                      |
|--|--|------------------------|----------------------|
|  |  | Application Number     | 09/855,899           |
|  |  | Filing Date            | May 15, 2001         |
|  |  | First Named Inventor   | Rotsch et al.        |
|  |  | Group Art Unit         |                      |
|  |  | Examiner Name          |                      |
| Total Number of Pages in This Submission |  | Attorney Docket Number | A34306 - 071308.0160 |

### ENCLOSURES (check all that apply)

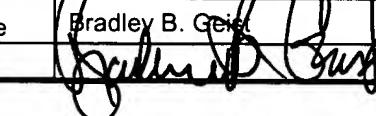
|   |   |   |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form                                   | <input type="checkbox"/> Assignment Papers<br>(for an Application)                            | <input type="checkbox"/> After Allowance Communication<br>to Group                            |
| <input type="checkbox"/> Fee Attached   | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences        |
| <input checked="" type="checkbox"/> Amendment / Reply                           | <input type="checkbox"/> Licensing-related Papers   | <input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final  | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                              | <input type="checkbox"/> Petition to Convert to a<br>Provisional Application                  | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                              | <input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence<br>Address | <input type="checkbox"/> Other Enclosure(s) (please<br>identify below):                       |
| <input type="checkbox"/> Express Abandonment Request                            | <input type="checkbox"/> Terminal Disclaimer  |   |
| <input type="checkbox"/> Information Disclosure Statement                       | <input type="checkbox"/> Request for Refund   |   |
| <input type="checkbox"/> Certified Copy of Priority<br>Document(s)              | <input type="checkbox"/> CD, Number of CD(s) _____  |   |
| <input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application   |   |   |
| <input type="checkbox"/> Response to Missing Parts<br>under 37 CFR 1.52 or 1.53 |   |   |
| Remarks <input type="checkbox"/>  |   |   |

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                               |  |
|-------------------------------|--|
| Firm<br>or<br>Individual name | BakerBotts LLP<br>30 Rockefeller Plaza<br>New York, NY 10112   |
| Signature                     | <br>Att Name: Bradley B. Geist<br>PTO Reg: 27,551 |
| Date                          | July 11, 2001  |

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

|                       |   |
|-----------------------|---|
| Typed or printed name | Bradley B. Geist  |
| Signature             |  |
| Date                  | July 11, 2001   |



# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 0)

## Complete if Known

|                      |                      |
|----------------------|----------------------|
| Application Number   | 09/855,899           |
| Filing Date          | May 15, 2001         |
| First Named Inventor | Rotsch et al.        |
| Examiner Name        |                      |
| Group Art Unit       |                      |
| Attorney Docket No.  | A34306 - 071308.0160 |

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **02-4377**

Deposit Account Name **Baker Botts LLP**

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description        | Fee Paid |
|-----------------------|-----------------------|------------------------|----------|
| 710                   | 355                   | Utility filing fee     |          |
| 320                   | 160                   | Design filing fee      |          |
| 490                   | 245                   | Plant filing fee       |          |
| 710                   | 355                   | Reissue filing fee     |          |
| 150                   | 75                    | Provisional filing fee |          |

**SUBTOTAL (1) (\$ 0)**

### 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
|                    | 20 ** = 0    | x 0 = 0        | 0        |
| Independent Claims | 3 ** = 0     | x 0 = 0        | 0        |
| Multiple Dependent |              |                |          |

| Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description  |
|-----------------------|-----------------------|--|
| 18                    | 9                     | Claims in excess of 20                                     |
| 80                    | 40                    | Independent claims in excess of 3                          |
| 270                   | 135                   | Multiple dependent claim, if not paid                      |
| 80                    | 40                    | ** Reissue independent claims over original patent         |
| 18                    | 9                     | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2) (\$ 0)**

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

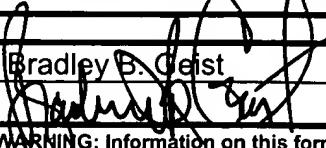
### 3. ADDITIONAL FEES

| Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description  | Fee Paid |
|-----------------------|-----------------------|--|----------|
| 130                   | 65                    | Surcharge - late filing fee or oath  |          |
| 50                    | 25                    | Surcharge - late provisional filing fee or cover sheet                     |          |
| 130                   | 130                   | Non-English specification  |          |
| 2,520                 | 2,520                 | For filing a request for <i>ex parte</i> reexamination                     |          |
| 920*                  | 920*                  | Requesting publication of SIR prior to Examiner action                     |          |
| 1,840*                | 1,840*                | Requesting publication of SIR after Examiner action                        |          |
| 110                   | 55                    | Extension for reply within first month                                     |          |
| 390                   | 195                   | Extension for reply within second month                                    |          |
| 890                   | 445                   | Extension for reply within third month                                     |          |
| 1,390                 | 695                   | Extension for reply within fourth month                                    |          |
| 1,890                 | 945                   | Extension for reply within fifth month                                     |          |
| 310                   | 155                   | Notice of Appeal   |          |
| 310                   | 155                   | Filing a brief in support of an appeal                                     |          |
| 270                   | 135                   | Request for oral hearing   |          |
| 1,510                 | 1,510                 | Petition to institute a public use proceeding                              |          |
| 110                   | 55                    | Petition to revive - unavoidable   |          |
| 1,240                 | 620                   | Petition to revive - unintentional   |          |
| 1,240                 | 620                   | Utility issue fee (or reissue)   |          |
| 440                   | 220                   | Design issue fee   |          |
| 600                   | 300                   | Plant issue fee  |          |
| 130                   | 130                   | Petitions to the Commissioner  |          |
| 50                    | 50                    | Processing fee under 37 CFR 1.17(q)  |          |
| 180                   | 180                   | Submission of Information Disclosure Stmt                                  |          |
| 40                    | 40                    | Recording each patent assignment per property (times number of properties) |          |
| 710                   | 355                   | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 710                   | 355                   | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 710                   | 355                   | Request for Continued Examination (RCE)                                    |          |
| 900                   | 900                   | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$ 0)**

| SUBMITTED BY      | Complete (if applicable)  |                                   |               |
|-------------------|---|-----------------------------------|---------------|
| Name (Print/Type) | Bradley B. Geist  | Registration No. (Attorney/Agent) | 27,551        |
| Signature         |  | Date                              | July 11, 2001 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



## PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)

Docket Number (Optional)  
A34306 - 071308.0160

|                                       |   |
|---------------------------------------|---|
| In re Application of<br>Rotsch et al. |   |
| Application Number                    | 09/855,899                              |
| For                                   | METHOD FOR CONTROLLED<br>* see attached |
| Group Art Unit                        | Examiner                                |

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows  
(check time period desired):

|   |          |
|---|----------|
| <input type="checkbox"/> One month (37 CFR 1.17(a)(1))    | \$ _____ |
| <input type="checkbox"/> Two months (37 CFR 1.17(a)(2))   | \$ _____ |
| <input type="checkbox"/> Three months (37 CFR 1.17(a)(3)) | \$ _____ |
| <input type="checkbox"/> Four months (37 CFR 1.17(a)(4))  | \$ _____ |
| <input type="checkbox"/> Five months (37 CFR 1.17(a)(5))  | \$ _____ |

Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown above is reduced by one-half, and the resulting fee is: \$\_\_\_\_\_.

A check in the amount of the fee is enclosed.

Payment by credit card. Form PTO-2038 is attached.

The Commissioner has already been authorized to charge fees in this application to a Deposit Account.

The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 02-4377.  
I have enclosed a duplicate copy of this sheet.

I am the  applicant/inventor

assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

attorney or agent of record.

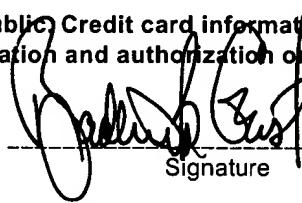
attorney or agent under 37 CFR 1.34(a).  
Registration number if acting under 37 CFR 1.34(a) \_\_\_\_\_.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

July 11, 2001

\_\_\_\_\_  
Date

PTO Reg No.:



\_\_\_\_\_  
Signature

\_\_\_\_\_  
Bradley B. Geist

\_\_\_\_\_  
Typed or printed name

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

Total of \_\_\_\_\_ forms are submitted.

**BAKER BOTTS LLP**



Attorney Docket Number: A34306 - 071308.0160

Title:

METHOD FOR CONTROLLED SYNCHRONIZATION TO AN ASTABLE CLOCK SYSTEM, AND RECEPTION UNIT  
CORRESPONDING THERETO

Use Space Below for Additional Information:

A large, empty rectangular box with a thin black border, intended for additional information or a signature.